

IFW 2008/005

PTO/SB/21 (01-08)

Approved for use through 01/31/2008. OMB 0851-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09965703	RECEIVED JAN 29 2008 OFFICE OF PETITIONS
	Filing Date	2001-09-28	
	First Named Inventor	PALLI	
	Art Unit	1847	
	Examiner Name	Shulamith H. Shafer	
Total Number of Pages in This Submission	5	Attorney Docket Number	A010208

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.62 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement of Notification of Loss of Entitlement to Small Entity Status
Remarks The USPTO is hereby directed and authorized to charge the fees indicated, any additional fees due, and credit any overpayments to Deposit Account No. 502860.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Intrexon Corporation		
Signature			
Printed name	Chang-Su Lim		
Date	2008-01-18	Reg. No.	60,094

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Chang-Su Lim	Date	2008-01-18

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-07)

Approved for use through 06/30/2010. OMB 0851-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**
For FY 2008☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 2,585.00

Complete if Known

Application Number 09965703

Filing Date 2001-09-26

First Named Inventor PALLI

Examiner Name Shulamith H. Shafer

Art Unit 1647

Attorney Docket No. A01020B

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METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: 502860 Deposit Account Name: Intrexon Corporation

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Small Entity
Fee (\$)

Fee (\$)

Each independent claim over 3 (including Reissues)

50

25

Multiple dependent claims

210

105

370

185

Total Claims Extra Claims Fee (\$)

Fee Paid (\$)

- 20 or HP =

x

=

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$)

Fee Paid (\$)

- 3 or HP =

x

=

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)

Fee Paid (\$)

- 100 = / 50 = (round up to a whole number) x

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge): Deficiency payments re: entity status revision statement (attached)

2,585.00

SUBMITTED BY

Signature	<i>Chang-Su Lim</i>	Registration No. (Attorney/Agent) 60,094	Telephone 540-808-2759
Name (Print/Type)	Chang-Su Lim		Date 2008-01-18

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No : 09/965,703
 U.S. Patent No : 7,091,038
 Applicant : Palli, et. al.
 Filed : September 26, 2001
 Art Unit : 1647
 Examiner : Shulamith H. Shafer
 Confirmation No : 8135
 Docket No : A01020B
 Customer No : 68102

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Mail Stop Patent Application
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STATEMENT OF NOTIFICATION OF LOSS OF ENTITLEMENT
TO SMALL ENTITY STATUS

Sir:

Applicant hereby notifies the United States Patent and Trademark Office of the loss of entitlement to small entity status in the above referenced patent pursuant to 37 C.F.R. § 1.27(g)(2).

At the time of the initial filing of the application, Applicant asserted small entity status in good faith and fees as a small entity were paid in good faith. Applicant has since discovered that the rights in this application were licensed on or about 9 December 2003 to an organization that does not qualify to small entity status.

Pursuant to 37 C.F.R. § 1.28(c), Applicant respectfully submits an itemization of the deficiencies owed for each previous fee erroneously paid at the small entity rate as follows on the accompanying pages:

01/25/2008 DALLEN 00000001 502860 09965703
 01 FC:1461 2585.00 DA

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Application No : 09/965,703
U.S. Patent No : 7,091,038
Applicant : Palli, et. al.
Filed : September 26, 2001
Art Unit : 1647
Examiner : Shulamith H. Shafer
Confirmation No : 8135
Docket No : A01020B
Customer No : 68102

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OFFICE OF PETITIONS**ITEMIZATION OF DEFICIENCY PAYMENTS**

The USPTO is hereby directed and authorized to charge the total deficiency owed in the amount of \$2,585.00 to Deposit Account No. 502860. Please charge any additional fees due or credit any overpayment to said Deposit Account No. 502860.

Type of Fee	Current Fee Due (Large Entity)	Small Entity Fee Actually Paid	Date	Deficiency Amount Owed
Four month EOT	\$1,590.00	\$740.00	03/02/2004	\$850.00
Two month EOT	\$450.00	\$225.00	04/20/2005	\$225.00
One month EOT	\$120.00	\$60.00	10/13/2005	\$60.00
Petition for Revival	\$1,500.00	\$750.00	05/30/2006	\$750.00
Issue & Publication Fee	\$1,700.00	\$1,000.00	05/30/2006	\$700.00

Total Deficiency Payment Owed: \$2,585.00

Application No : 09/965,703
U.S. Patent No : 7,091,038
Applicant : Palli, et. al.
Filed : September 26, 2001
Art Unit : 1647
Examiner : Shulamith H. Shafer
Confirmation No : 8135
Docket No : A01020B
Customer No : 68102

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REMARKS

Applicant respectfully requests that the entity status be revised to large and any further fees due in this application be charged as large entity.

Respectfully submitted,



Chang-Su Lim
Registration No 60,094

SUGHRUE MION PLLC
Telephone: (540) 961-0725
Fax: (540) 961-1765

60394

Customer Number

Date: January 18, 2008